



08-20-01

#3 Sector #

EXPRESS MAIL CERTIFICATE

Date \_\_\_\_\_ Label No. \_\_\_\_\_  
I hereby certify that, on the date indicated above I deposited this paper or fee with the U.S. Postal Service and that it was addressed for delivery to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEE(S) DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

EXPRESS MAIL CERTIFICATE

Date 8/17/01 Label No. 903056723-US  
I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Date \_\_\_\_\_ Name \_\_\_\_\_

Customer No.: 07270

D. Davis D. Davis  
Name (Print) Signature

Docket No.: 1252/1G348US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Umashanker SAMPATH, Joseph A. TOCE,  
and Sourena NADJI

Serial No.: 09/853,047

Art Unit: 1645

Confirmation No.: 5094

Filed: May 9, 2001

Examiner: TBA

For: POLYMERIC COMPOUNDS USEFUL AS PRODRUGS

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**COMPLETION OF PATENT APPLICATION**

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$639, (\$534 filing; \$40 recording; \$65 late) in the form of:

[X] check  
[] deposit account no. 04-0100  
[] credit card (see attached form)  
(See attached **Fee Computation Sheet**)

3. [] Drawings, sheets (Figs. )
4. [X] Assignment for recording to: Reliable Biopharmaceutical, Inc.
5. [X] Applicant claims small entity status.  
**PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.**
6. A copy of Notice to File Missing Parts of Application.

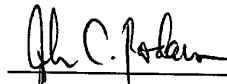
Priority is claimed for this application, corresponding application/s having been filed as follows:

Country: U.S.A.  
Number: 60/202,795  
Date: May 9, 2000

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: August 17, 2001

  
\_\_\_\_\_  
John C. Tiodaro  
Reg. No. 36,036  
Attorney for Applicant(s)

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022  
212-527-7700

PATENT FEE COMPUTATION SHEET

|  | No. of Claims<br>Presented | Extra Claims<br>Previously<br>Paid For | Number of<br>Extra Claims | Rate      |
|--|----------------------------|--|---------------------------|-----------|
| Basic Fee . . . . .  |                            |  |                           | \$710.00  |
| Total Claims   | 31 - 20                    | - 0 = 11                               | x \$18.00                 | \$198.00  |
| Independent<br>Claims  | 5 - 3                      | - 0 = 2                                | x \$80.00                 | \$160.00  |
| Multiple Dependent Claims  |                            | - if so, add                           | \$270.00                  | \$0.00    |
| Surcharge for late submission of filing fee and/or declaration<br>(\$130.00) . . . . . |                            |  |                           | \$130.00  |
| SUBTOTAL . . . . .   |                            |  |                           | \$1198.00 |
| [X] Small Entity REDUCTION (Half of Subtotal) . . . . .                                |                            |  |                           | \$599.00  |
| Fee for recordation of assignment (\$40.00) . . . . .                                  |                            |  |                           | \$40.00   |
| Charge for filing non-English language application (\$130.00)                          |                            |  |                           | \$0.00    |
| TOTAL . . . . .  |                            |  |                           | \$639.00  |

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/853,047         | 05/09/2001          | Joseph A. Toce        | 1252/1G348US1          |

CONFIRMATION NO. 5094

07278

DARBY & DARBY

805 THIRD AVENUE, 27TH FLOOR  
NEW YORK, NY 10022

DUE: September 16, 2001

Filed on 8/8/01 by BWL for

Docketed without file ☐

Attorney JCT

FORMALITIES LETTER



\*OC000000006298847\*

Date Mailed: 07/16/2001

8/16/02

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$179.
  - \$99 for 11 total claims over 20.
  - \$80 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 599.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

08/22/2001 HDAHTE1 00000037 09853047

|           |           |
|-----------|-----------|
| 01 FC:201 | 355.00 OP |
| 02 FC:203 | 99.00 OP  |
| 03 FC:202 | 80.00 OP  |
| 04 FC:205 | 65.00 OP  |